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OUTPUT OF MULTIPLE DEVICES" ) Date: January 23, 2002

CLAIM TO PRIORITY UNDER 35 U.S.C. 119

Honorable Commissioner of Patents and Trademarks  
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Sir:

[X] Applicant hereby makes a right of priority claim under 35 U.S.C. 119 for the benefit of the filing date(s) of the following corresponding foreign application(s):

<u>COUNTRY</u>	<u>FILING DATE</u>	<u>SERIAL NUMBER</u>
UK	19 December 2000	0030918.7

[ ] A certified copy of each of the above-noted patent applications was filed with the Parent Application No. \_\_\_\_\_.

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Respectfully submitted,

  
Richard P. Berg  
Attorney for Applicant  
Reg. No. 28,145

LADAS & PARRY  
5670 Wilshire Boulevard  
Suite 2100  
Los Angeles, CA 90036  
Telephone: (323) 934-2300  
Telefax: (323) 934-0202

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P01/7700 0,00-0030918.7**Request for grant of a patent**

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1. Your reference 30010957 GB

2. Patent application number  
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Hewlett-Packard Company  
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CA 94304, USAPatents ADP number (*if you know it*)

Delaware, USA

If the applicant is a corporate body, give the country/state of its incorporation

491658800 |

4. Title of the invention Activation of Voice-Controlled Apparatus

5. Name of your agent (*if you have one*)Robert F Squibbs  
Hewlett-Packard Ltd, IP Section  
Filton Road  
Stoke Gifford  
Bristol BS34 8QZ"Address for service" in the United Kingdom to which all correspondence should be sent (*including the postcode*)Patents ADP number (*if you know it*)

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Signature *T. F. Squibbs*  
*R. F. SQUIBBS*

Date *18 Dec 2000*

12. Name and daytime telephone number of person to contact in the United Kingdom

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## Activation of Voice-Controlled Apparatus

### Field of the Invention

5 The present invention relates to the activation of voice-controlled apparatus.

### Background of the Invention

Voice control of apparatus is becoming more common and there are now well developed technologies for speech recognition particularly in contexts that only require small  
10 vocabularies.

However, a problem exists where there are multiple voice-controlled apparatus in close proximity since their vocabularies are likely to overlap giving rise to the possibility of several different pieces of apparatus responding to the same voice command.

15

It is known from US 5,991,726 to provide a proximity sensor on a piece of voice-controlled industrial machinery or equipment. Activation of the machinery or equipment by voice can only be effected if a person is standing nearby. However, pieces of industrial machinery or equipment of the type being considered are generally not closely packed so  
20 that whilst the proximity sensor has the effect of making voice control specific to the item concerned in that context, the same would not be true for voice controlled kitchen appliances as in the latter case the detection zones of the proximity sensors are likely to overlap. Furthermore, in the arrangement described in US 5,991,726, whilst the proximity sensor necessarily only responds to the presence of a nearby operator, the voice responsive  
25 circuits of the machinery are not configured to respond only to voice input from that operator giving rise to the possibility of a shouted command from another operator causing false operation.

With respect to this latter drawback, methods of acoustically locating a sound source are  
30 themselves well known so it would be possible to ensure that the machinery only responded to locally-spoken commands. Detecting the location of a sound source is usually done with an array of microphones; US 5,465,302 and US 6,009,396 both describe sound

source location detecting systems. By determining the location of the sound source, it is then possible to adjust the processing parameters of the input from the individual microphones of the array so as to effectively 'focus' the microphone on the sound source, enabling the sounds emitted from the source to be picked out from surrounding sounds.

5

Of course, knowing the location of a speaker issuing a command for a voice-controlled device does not, of itself, solve the problem of voice control activating multiple pieces of apparatus. One possible solution to this problem is to require each voice command to be immediately preceded by speaking the name of the specific apparatus it is wished to 10 control so that only that apparatus takes notice of the following command. This approach is not, however, user friendly and users frequently forget to follow such a command protocol, particularly when in a hurry.

It is an object of the present invention to provide a more user-friendly way of minimising 15 the risk of unwanted activation of multiple voice-controlled apparatus by the same verbal command.

### Summary of the Invention

According to the present invention, there is provided a method of activating voice-controlled apparatus, comprising the steps of:

- (a) - using a microphone array to detect when a user is facing towards the apparatus when making a sound;
- (b) - enabling the apparatus for voice control only if step (a) indicates that the user is simultaneously facing towards the apparatus and making a sound.

25

Determination of whether the user is facing the voice controlled apparatus preferably involves:

- (i) - using the microphone array to determine the location of the user,
- (ii) - measuring the strength of the sound signal received at each microphone of the 30 array, and
- (iii) - carrying out processing to effectively orientate a relative signal strength map for sounds generated by a human, situated at the determined location of the user, to

obtain a pattern of relative strengths at the microphones substantially corresponding to those measured in step (ii), the map orientation then giving the direction of facing of the user.

- 5 Advantageously, the microphone array is made up of microphones associated with respective devices of a set of voice-controlled devices including said voice-controlled apparatus, the relative locations of the devices being known. The relative locations of the devices are known, for example, as a result of an automatic set-up process in which each device is caused to emit a sound at the same time as sending an electric or electromagnetic signal, the latter acting as a timing point enabling the other devices to determine their distance from the emitting device; the devices exchange their distances from other devices whereby to enable each device to calculate the relative locations of all devices.
- 10
- 15

The present invention also encompasses a system and apparatus embodying the foregoing method of the invention.

#### **Brief Description of the Drawings**

A method and system embodying the invention, for controlling activation of voice-controlled devices, will now be described, by way of non-limiting example, with reference to the accompanying diagrammatic drawings, in which:

- . **Figure 1** is a diagram illustrating a room equipped with a microphone array for controlling activation of voice-controlled devices in the room;
- . **Figure 2** is a diagram illustrating the determination of the location of a speaker;
- 25 . **Figure 3** is a diagram illustrating the determination of the direction of facing of the speaker;
- . **Figure 4** is a diagram illustrating a room in which there are multiple voice-controlled devices that cooperate to provide a microphone array; and
- . **Figure 5** is a diagram illustrating the main sound-related functional capabilities of the Figure 4 voice-controlled devices.
- 30

### Best Mode of Carrying Out the Invention

Figure 1 shows a work space 11 in which a user 10 is present, facing in a direction indicated by dashed arrow 12. Within the space 11 are three voice-controlled devices 14 (hereinafter referred to as devices A, B and C respectively) each with different 5 functionality but each provided with a similar voice interface subsystem 15, including microphone 16, permitting voice control of the device by the user.

The work space 11 is equipped with a set of three fixed room microphones 28 (hereinafter referred to as microphones M1, M2 and M3) that include digitisers for digitising the sound 10 picked up, the digitised sound data then being passed via LAN 29 to a device activation manager 30. Manager 30 incorporates a sound-signal processing unit 33 which determines, in a manner to be more fully described below, when a user is facing towards a particular device. When the unit 33 determines that the user is facing towards a device 14 it informs a control block 34; unit 33 also informs block 34 whenever the user is speaking. Using 15 this information, the control block 34 decides when to enable the voice interface of a particular device, and sends appropriate control messages to the devices via infrared links established between an IR transmitter 35 of the manager and IR receivers 36 of the device.

The control block 34 ensures that the voice interface of only one device 14 is enabled at any one time. For convenience, in the following description, the control block 34 will be 20 described as enabling/disabling the devices rather than their voice interfaces; however, it should be understood, that the devices may have other interfaces (such as manual interfaces) that are not under the control of the device activation manager.

The control block 34 initially enables a device 14 when block 34 determines, from the 25 information passed to it by unit 33, that the user is facing towards the device at the time of first speaking following a period of quiet of at least a predetermined duration. The control block then maintains enablement of the device concerned whilst the user continues to speak and for a timeout period thereafter even if the user faces away from the device – if 30 the user starts speaking again during the timeout period the timing out of this period is reset. This timeout period is, for example, 3 seconds and is shorter than the aforesaid predetermined period of quiet that is required to precede initial enablement of a device. Thus, even if a user, whilst talking to a device, turns towards another device and pauses

briefly before speaking again, the control block does not switch to enabling that other device unless the pause is both longer than the timeout period (resulting in the previously-enabled device being disabled) and at least as long as the predetermined quiet period (resulting in the device currently faced being enabled). The timeout period can, in fact, be of 5 the same duration as the predetermined period of quiet.

The control block 34 can be arranged to communicate its enablement decisions to the devices using any suitable protocol. For example, the control block can simply send an enable message to an identified device to enable it (with the other devices recognising that 10 the message is not intended for them and ignoring it), and then subsequently send a disable message to disable the device. Alternatively, each device can be arranged to require a continual supply of enable messages (for example, at least one every second) for its voice interface to remain enabled; absence of enable messages for greater than this period resulting in the voice interface of the device becoming disabled.

15 In each device, the voice interface 15 comprises, in addition to microphone 16, a speech recogniser 17 (see device 14A in Figure 1) and an enable circuit 18. The enable circuit 18 is fed from the infrared receiver 36 and holds the current enabled/disabled state of the voice interface. Circuit 18 enables or disables the speech recogniser 17 according to its 20 stored state. When the speech recogniser 17 is enabled, it interprets the voice input picked up by microphone 16 and generates corresponding control outputs (see arrow 19) for controlling the functionality of the device 14.

Whilst it would be possible to centralise the speech recognition functions of the devices 14 25 in the device activation manager 30, this would require the latter to be provided with a speech recogniser programmed both with the input vocabulary and control language of all devices that it may have to control.

Figures 2 and 3 illustrate how the sound signal processing block 33 determines when user 30 10 is facing towards a particular device. For purposes of illustration, the user 10 is shown as being located in a position that is a distance "2Q" from microphone M1, a distance "3Q"

from microphone M2, and a distance "4Q" from microphone M3. The signal processing block 33 is assumed to know the locations of the microphones M1, M2 and M3.

At time T0, user 10 emits a sound that travels at the speed of sound and reaches the  
 5 microphones M1, M2 and M3 at successive times T1, T2 and T3. The sounds picked up by  
 10 the microphones are passed to the processing block 33 where they are first correlated and  
 15 the values (T2-T1) and (T3-T1) are determined; in the present example:

$$2(T2-T1) = (T3-T1)$$

The microphones each have their own internal clock that is used to provide time stamps for  
 10 stamping the sound data passed to the processing block 33 to enable the above difference  
 15 values to be determined, the offsets between the time clocks of the microphones having  
 been previously measured by any suitable technique (for example, by each microphone  
 responding with a time-stamped message a predetermined interval after receiving a trigger  
 message from the manager 30, the internal processing times at both ends being taken into  
 20 account).

A measure of the received sound signal strength at each microphone M1, M2, M3 is also  
 15 passed to the processing block.

20 Of course, the processing block does not know the time T0 when the sound was emitted.  
 However, by effecting a reverse construction of the sound wave front it is possible to  
 determine the location of the user. More particularly, at time T1, the sound wave front from  
 25 the user:

- has just reached microphone M1,  
 - is at a minimum distance  $V(T2-T1)$  from microphone M2 somewhere on a circle C2  
 of this radius centred on M2, and  
 - is at minimum distance  $V(T3-T1)$  from microphone M3 somewhere on a circle C3 of  
 this radius centred on M3  
 where V is the velocity of sound. In fact, with respect to microphone M1, the sound wave  
 30 front can be generalised to be on a circle C1 of radius  $V(T1-T1)$  centred on M1.

If now the three circles are expanded (in effect by going back in time), there will eventually be a point of intersection of all three circles which corresponds to the location of the user.

It will be appreciated that the foregoing description of how the location of the user is determined has been kept simple for reasons of clarity. Where the environment 11 is noisy or reverberating more complicated signal processing is required to provide a reasonable location determination and appropriate techniques are described in the afore-mentioned US patents.

10 Once the location of the user has been determined, the next step is to derive the direction of facing of the user. For this purpose, the processing block 33 holds data representing a relative sound signal strength map 40 (see contour set centred on user 10 in Figure 3) that indicates the relative sound signal strengths for sounds emitted by a user relative to their direction of facing, here indicated by dashed arrow 41. Processing block is arranged to 15 carry out calculations corresponding to placing the origin of the map 40 at the determined location of the user and determining the relative sound signal strengths at the microphones M1, M2 and M3 as the map 44 and microphone array are rotated relative to each other. These relative signal strength readings are then compared with the actual signal strength 20 readings provided by the microphones M1, M2 and M3 to derive a 'best match' orientation of the map and thus a direction of facing of the user.

The derived direction of facing of the user is then used, together with the user's current location, to determine whether the user is facing, at least generally, towards any one of the devices 14, the locations of which are known to the processing block. The margin of error 25 in facing direction permitted in deciding whether a user is facing a device will depend, in part, on the angular separation of adjacent devices 14.

The signal processing block 33 passes its conclusions to control block 34 for the latter to control the devices in the manner already described.

30

The map 40 will generally be for words spoken by the user 10. However, device enablement can be made dependent on the user making some other distinctive sound, such

as a hand clap, in which case the map should be a relative sound signal strength map for a handclap made by a person to their front.

Figure 4 shows a second embodiment which is similar to the first embodiment but now the  
5 microphone array used to determine whether a user is facing a device is made up of the  
microphones 16 of the individual devices, the devices being equipped with short-range  
transceivers 56 (such as Bluetooth radio transceivers) for exchanging microphone data and  
thereby effectively coupling the microphones 16 into an array. The microphone data is  
time-stamped as with the Figure 1 embodiment with the relative offsets of the internal  
10 time-stamping clocks of the devices 14 being determined in any appropriate manner.

Furthermore, sound signal processing is now effected in each device by a sound functions  
control block 57 that directly determines whether the device should be enabled or disabled  
and controls the speech recognition unit 23 accordingly. Thus, if a user is facing towards  
15 device C and starts to speak (after a period of quiet longer than the aforesaid predetermined  
period of quiet), the microphone 16 at each of the three devices pick up this sound,  
digitises it and measure its strength, and the block 57 of the device sends on this data to the  
other devices and receives their corresponding data. Each block 57, which already knows  
20 the relative locations of the devices 14, now carries out a determination of the user's  
location and direction of facing and, as a result, determines whether the user is facing  
towards the device concerned. If a device decides that it is being addressed by the user it  
first informs the other devices, via the short-range transceiver, that it intends to enable its  
speech recogniser. Assuming that no conflict response is received back within a short  
window period, the block 57 proceeds to enable its associated speech recogniser 17.  
25 Preferably, the latter is fronted by a FIFO sound data store continuously fed from  
microphone 16 so that speech received from the user during the initial enablement  
determinations made by block 57 is not lost but is available for interpretation upon the  
speech recognition unit being enabled.

30 To avoid excessive transmission of sound data between devices, the blocks 57 are arranged  
only to send the digitised sound and related signal strength measurements when there is a  
possibility of a device being newly enabled – that is, not during periods when a device is

enabled. For simplicity, the blocks 57 are arranged to send the microphone data only after a period of quiet at least as long as said predetermined period of quiet and prior to one of the devices informing the others that it has enabled its speech recogniser.

- 5 Doing away with the fixed infrastructure and providing the devices with the means for cooperating with each other in effecting sound control functions, results in a very flexible arrangement. This flexibility is significantly enhanced by arranging for the devices to automatically calibrate themselves with regard to their mutual existence and locations. This becomes possible where there are at least three devices in the same space 11.

10

More particularly, assume that the devices of Figure 4 initially know nothing of each other. However, each is equipped with a loudspeaker for emitting a preferably distinctive "mating call" at random periodic intervals. At the same time as emitting its mating call, a device also sends out a mating signal via its short-range transceiver. This mating signal is detected 15 by the other devices and if this signal is subsequently complemented by the receipt of the sound mating call received through the microphone of the device, then the device responds to the originating device over the short range transceiver. In this manner, the devices can establish what other devices are within sound range and form a local group. Using sound proximity to define this group is less likely to result in the group being spread across 20 different rooms than if the short-range transceivers had been used for this purpose. Preferably, each device hearing the original sound is also required to emit its own mating call and signal in turn to ensure that all devices hearing the initial sound can also hear each other; devices that can only be heard by some but not all the other devices are excluded/included in the group of devices according to a predetermined policy.

25

At this time, a 'pecking' order of member devices of the group can also be determined to provide a degree of order regarding, for example, the order of transmission of messages. In this respect, it may be advantageous to employ a collision and back-off policy with respect to the initial mating call somewhat akin to that used for CSMA-CD data networks. The 30 device first to successfully transmit its mating call can be made the group leader and can, for example, be given the responsibility of setting the pecking order within the group.

Once group membership has been established, the devices take it in turn to simultaneously send their mating call and mating signal again. This time the mating signal is used as a timing mark against which the other devices can determine the time of travel of the mating call from the emitting device (it being assumed that the mating signal arrives effectively 5 instantaneously at all devices). This enables each device to determine its distance from the emitting devices. By repeating this exercise for all devices in turn and by having the devices exchange their distance data, it becomes possible for the block 57 of each device to calculate the relative positions of all devices in the group.

- 10 These two operations of determining group composition (and pecking order) and device locations are represented by steps 60 and 61 in Figure 5 and comprise an automatic set up phase for the group of devices. Since devices may be added or removed at any time, the devices are preferably arranged to initiate a fresh set up phase at intervals by the emitting 15 of their mating calls and signals at a random time after the preceding execution of the set-up phase.

The steps 60 and 61 can in part be combined with each device only emitting its mating call and signal a single time.

- 20 Following the set up phase, the devices are ready to perform their sound-regulated device enablement role as already described with reference to Figure 4, this role involving each device carrying out the tasks of detecting user input (step 62 in Figure 5), the determination of user location and direction of facing (step 63), and the activation of self if being addressed (step 64).

- 25 A further role that the devices can usefully perform is the announcement to a user of their existence upon an appropriate prompt being generated, such as a user clapping their hands or a door sensor emitting a signal (e.g. via a short range transmitter) upon a user entering the space 11. For this role, the devices are equipped to detect the prompt signal and, in the 30 case that the prompt is a sound, task 62 involves determining whether a detected sound is a prompt or some other sound. If the devices detect a prompt, then they each announce their presence through loudspeaker 55, this being done in turn. The order of announcement

can be done according to the pre-established pecking order or can be done in a clockwise (or anticlockwise) order starting at a particular device and having regard to the user's position. The user's position is determined by the devices in step 65 in the same way as it would be for device enablement if the prompt is a sound; if the prompt is some other signal generated upon user entry into the room, then this fixed position can be arranged to be made known previously to the devices (for example, a special portable "door device" can be positioned in the doorway and caused to trigger a new set-up phase in which its position and nature become known to the other group members, and even though the door device itself may not be present when the next set-up phase is triggered, the door position is thereafter retained in memory by the devices).

The group leader can be designated always to start the announcement sequence (step 66) with each device then announcing when its turn comes (to detect this, the devices must listen to the other devices announcing, each device preferably leaving a clear gap before starting its announcement). If the user is detected in step 65 as facing towards a specific device, then that device, rather than the group leader, can be arranged to be the first device to announce.

Many other variants are, of course, possible to the arrangement described above. For example, a device can be arranged to be enabled only whilst the user is actually facing it. Alternatively, initial enablement of a device can require the speaking of a key word identifying that device whilst the user is facing the device; in this case the device can be arranged to remain enabled until a keyword associated with another device is spoken whilst the user faces that device. In this case, the speech recogniser of each device must be continuously enabled, only its output 19 being subject to control.

Various of processes carried out by the devices 14, particularly the devices 14 of Figure 4, can be effected independently of the task of enabling voice control of the devices. Thus, determining the direction of facing of a user can be done for other reasons such as to determine where to activate a visual alarm indicator to catch the attention of the user. Furthermore, the auto set-up process for the Figure 4 devices can be effected independently

of the enablement method as can the process for establishing the members of the local group of devices, and the process for ordering announcements to occur in a clockwise or anticlockwise sequence relative to the user.

**CLAIMS**

1. A method of activating voice-controlled apparatus, comprising the steps of :
    - 5 (a) - using a microphone array to detecting when a user is facing towards the apparatus when making a sound;
    - (b) - enabling the apparatus for voice control only if step (a) indicates that the user is simultaneously facing towards the apparatus and making a sound.
  - 10 2. A method according to claim 1, wherein determination of whether the user is facing the voice controlled apparatus involves:
    - (i) - using the microphone array to determine the location of the user,
    - (ii) - measuring the strength of the sound signal received at each microphone of the array, and
  - 15 (iii) - carrying out processing to effectively orientate a relative signal strength map for sounds generated by a human, situated at the determined location of the user, to obtain a pattern of relative strengths at the microphones substantially corresponding to those measured in step (ii), the map orientation then giving the direction of facing of the user.
- 20
3. A method according to claim 1 or claim 2, wherein the microphone array is a fixed array separate from the apparatus, the relative locations of the apparatus and the microphones of the apparatus being known.
  - 25 4. A method according to claim 3, wherein the recognition of voice commands is carried out at the apparatus.
  - 30 5. A method according to any one of the preceding claims, wherein the microphone array is made up of microphones associated with respective devices of a group of voice-controlled devices including said voice-controlled apparatus, the relative locations of the devices being known.

6. A method according to claim 5, wherein the relative locations of the devices are known as a result of an automatic set-up process in which each device is caused to emit a sound at the same time as sending an electric or electromagnetic signal, the latter acting as a timing point enabling the other devices to determine their distance from the emitting device, the 5 devices exchange their distances from other devices whereby to enable each device to calculate the relative locations of all devices.
7. A method according to any one of the preceding claims, wherein the apparatus, after being initially enabled for voice control, continues to be so enabled following the user 10 ceasing to face towards the apparatus but only whilst the user continues to speak and for a limited timeout period thereafter, recommencement of speaking during this period continuing voice control with timing of the timeout period being reset.
8. A method according to any one of claims 1 to 6, wherein the apparatus only remains 15 enabled for voice control whilst the user is facing the apparatus.
9. A method according to any one of the preceding claims, wherein speech recognition means of the apparatus ignores voice input from the user unless whilst the user is facing towards the apparatus, the user speaks a predetermined key word.
- 20
10. A method according to any one of the preceding claims, wherein the apparatus is only enabled in step (b) if there is at least a predetermined period of quiet immediately before the user produces a sound whilst facing the apparatus.
- 25 11. An auto-location method for a plurality of voice-controlled devices equipped with respective microphones and electric or electromagnetic communication means, wherein each device is caused to emit a sound at the same time as sending an electric or electromagnetic signal, the latter acting as a timing point enabling the other devices to determine their distance from the emitting device, the devices exchange their distances 30 from other devices whereby to enable each device to calculate the relative locations of all devices.

12. A group determination method for a plurality of voice-controlled devices equipped with respective microphones and electric or electromagnetic communication means, wherein a said device is caused to emit a sound at the same time as sending an electric or electromagnetic signal, any other said device receiving both the sound and the signal responding to the emitting device to indicate its presence and being thereby included as a member of a group also including the emitting device.
13. An announcement method for a plurality of devices; wherein the devices knowing their relative locations, and knowing or determining the position of a user when the latter produces an announcement prompt, take respective turns to make sound announcements about themselves in an order that proceeds clockwise or anticlockwise with respect to the user.

## ABSTRACT

### Activation of Voice-Controlled Apparatus

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A method of activating a voice-controlled device (14) is provided which minimises the risk of activating more than one such device at a time where multiple voice-controlled devices exist in close proximity. To activate a specific device, a user (10) is required both to be facing the device (14) and speaking at the same time. The device is then activated, 10 preferably only whilst the speaking continues and for a limited period thereafter. Detection of whether the user is looking at the device is effected using a microphone array. This array is, for example, composed of the microphones (16) of the individual devices (14), the devices being provided with short-range transceivers (56) for passing microphone data between them.

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(Fig. 4)

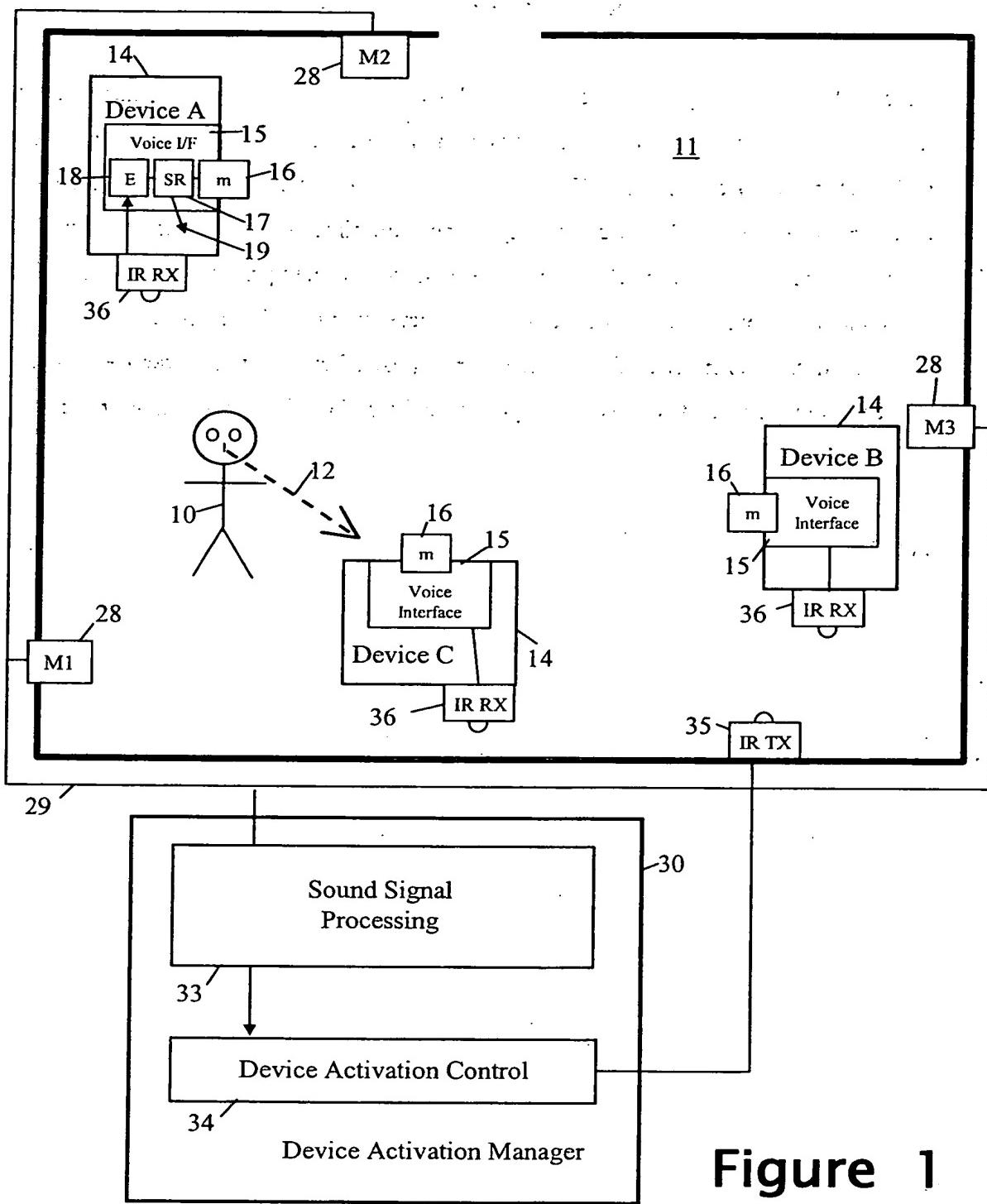
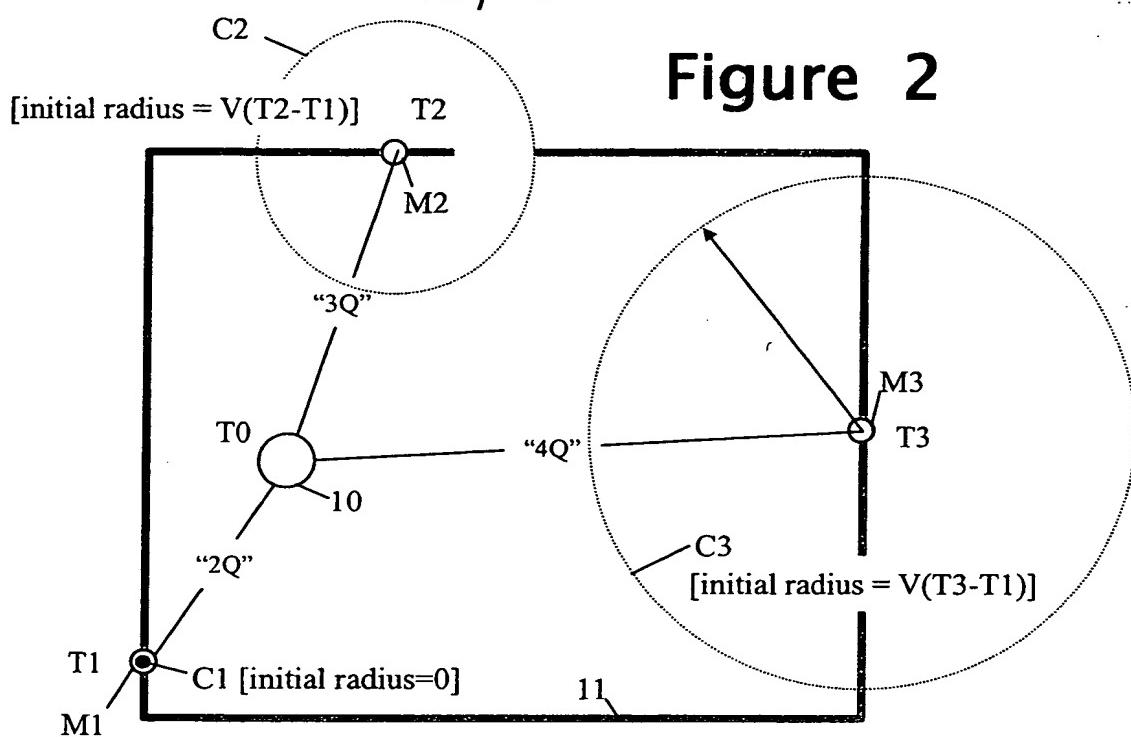


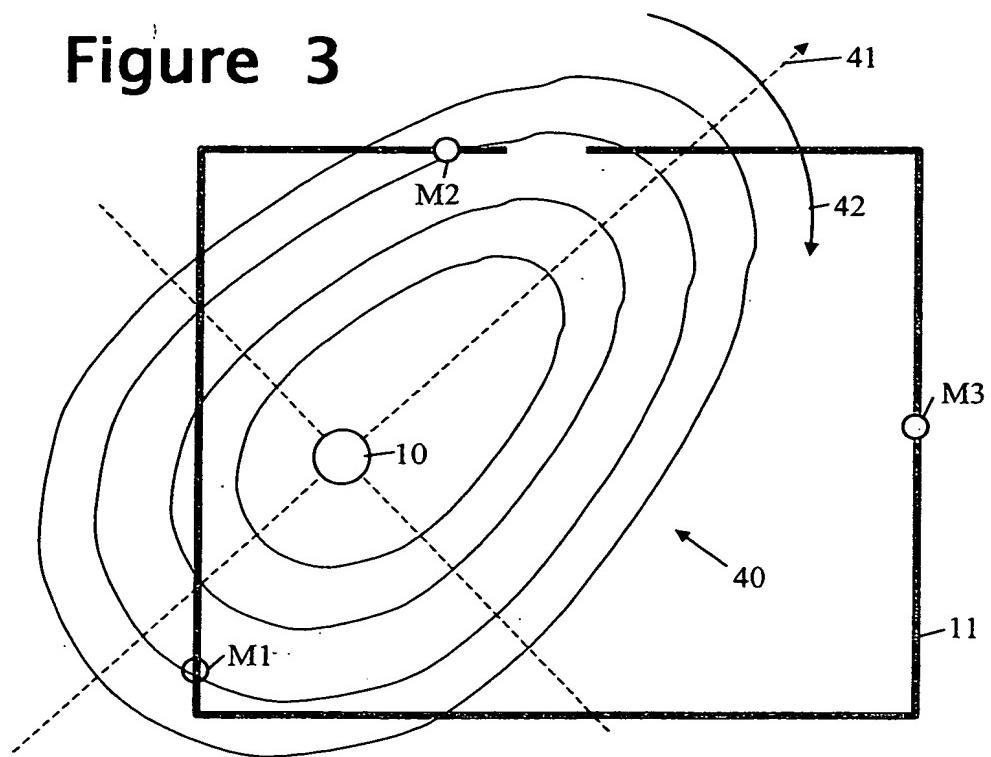
Figure 1

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**Figure 3**



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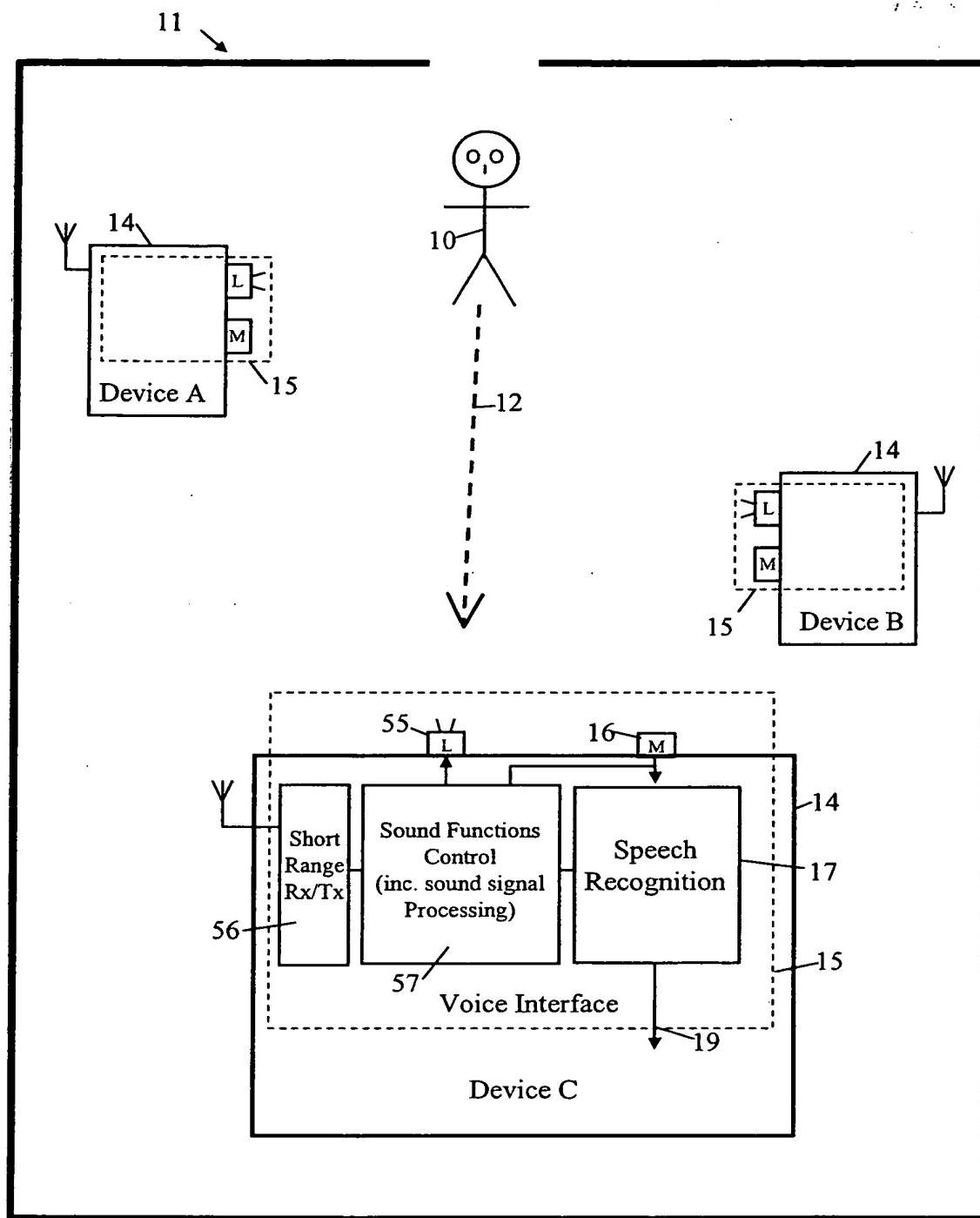
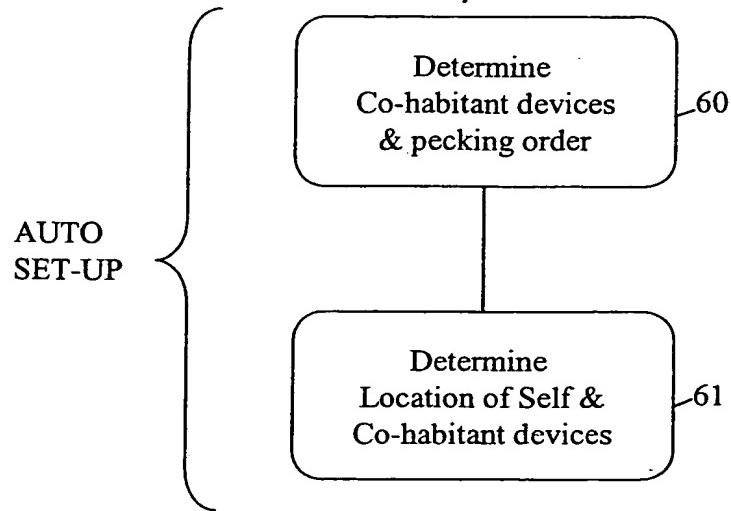


Figure 4

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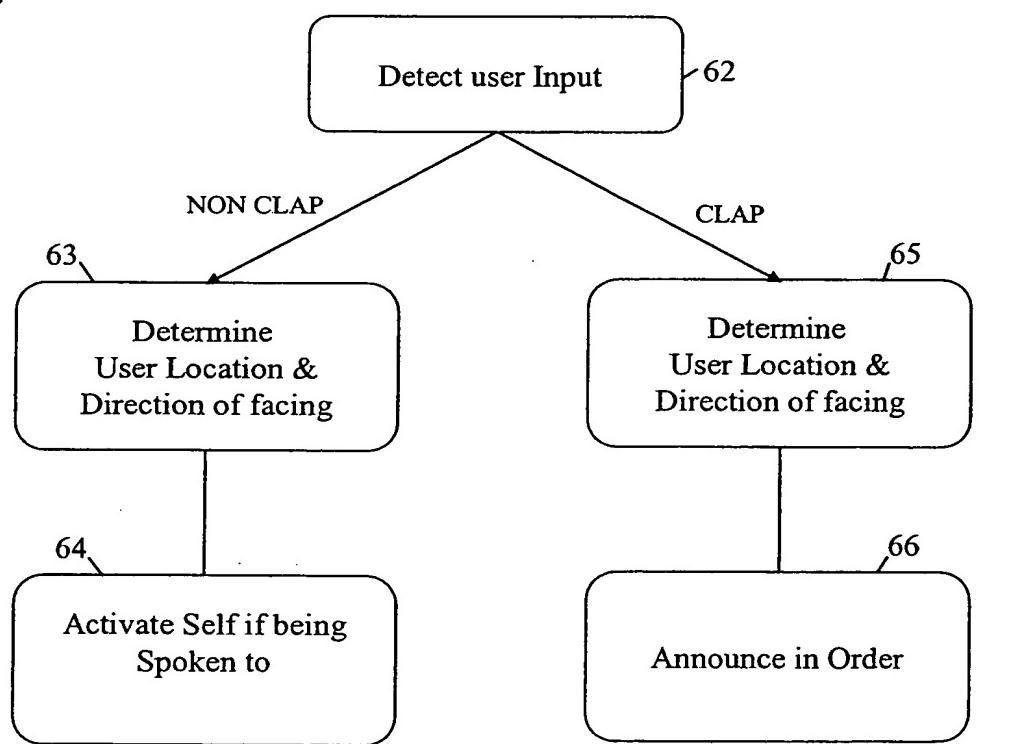


Figure 5

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